

<b>Notice of References Cited</b>	Application/Control No. 09/738,618	Applicant(s)/Patent Under Reexamination HENNINGER ET AL.	
	Examiner Lalita M. Hamilton	Art Unit 3691	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
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**FOREIGN PATENT DOCUMENTS**

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	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
*	U	French, Jane. "Synthetic Securities". Corporate Finance. London: May 1993. p.41, 8 pgs.
*	V	HNG Financial Appendix 1999
*	W	Interest Rate Swaps, September 1999.
*	X	Falkenstein, Eric and Hanweck, Jerry. "Minimizing Basis Risk from Nonparallel Shifts in the Yield Curve". June 1996.

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.